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K3 surfaces, rational curves, and rational points

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ABSTRACT

We prove that for any of a wide class of elliptic surfaces X defined over a number field k , if there is an algebraic point on X that lies on only finitely many rational curves, then there is an algebraic point on X that lies on no rational curves. In particular, our theorem applies to a large class of elliptic K3 surfaces, which relates to a question posed by Bogomolov in 1981.

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1. Introduction

In 1981, Fedor Bogomolov made the following conjecture [BT]:

Conjecture 1.1. *Let k be either a finite field or a number field. Let X be a K3 surface defined over k . Then every \bar{k} -rational point on X lies on some rational curve $C \subset X$, defined over \bar{k} .*

In the number field case, supporting evidence for this conjecture has been less forthcoming than in the finite field case. Indeed, in [BT], Bogomolov and Tschinkel describe the conjecture as an “extremal

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statement” that is “still a logical possibility”. The purpose of this paper is to provide more evidence that in the number field case at least, Conjecture 1.1 is false. (In the finite field case, progress has been made towards a proof of Conjecture 1.1 — in particular, in [BT], the authors prove the conjecture for Kummer surfaces defined over a finite field.)

In particular, the main theorem (Theorem 2.1) proves that for a wide class of elliptic surfaces X , if there is an algebraic point on X that lies on only finitely many rational curves, then there is an algebraic point on X that lies on no rational curves. The same techniques prove an alternative version of the theorem (Theorem 2.3) that, with an additional hypothesis on the point, would disprove Conjecture 1.1. Unfortunately, it is not clear to the authors how to construct a specific $K3$ surface and point P that satisfy the hypotheses of Theorem 2.1 or Theorem 2.3.

On the other hand, in Section 3, we are able to use these techniques to find an explicit algebraic point (indeed, four points) on a $K3$ surface X that do not lie on any smooth rational curve on X . The surface X we use has infinitely many smooth rational curves, and although it is not difficult to show that there must exist some algebraic point that does not lie on a smooth rational curve, the authors are not aware of any explicit constructions of such a point. Moreover, our techniques allow, at least in principle, for any positive integer d , the explicit construction of an algebraic point on a $K3$ surface X which does not lie on any rational curves of arithmetic genus at most d . As d increases, however, the calculations involved quickly become unmanageable, which is why we restrict ourselves to the case $d = 0$ in the present manuscript.

2. Main theorem

Before we state the main theorem, we will review some elementary definitions and results about places of curves.

Let C be a reduced and irreducible curve defined over the field \mathbb{C} of complex numbers. A place of C is a closed point of the normalization \tilde{C} . A map of curves $h: C_1 \rightarrow C_2$ is ramified at a place Q to degree d if and only if the corresponding map $\tilde{h}: \tilde{C}_1 \rightarrow \tilde{C}_2$ is ramified at Q to degree d .

Assume further that C lies on a smooth surface X , and let D be a divisor on X such that C is not contained in the support of D . The intersection multiplicity of C and D at a place Q of C is $\text{ord}_Q(f^*D)$, where ord_Q is the discrete valuation associated to the smooth point Q on \tilde{C} , and $f: \tilde{C} \rightarrow C$ is the normalization map. (Recall that since X and \tilde{C} are smooth, f^*D can be defined by considering D as a Cartier divisor.) Note that by Example 7.1.17 of [Fu], we have the relation:

$$I(f(Q), C \cdot D; X) = \sum_{f(R)=f(Q)} \text{ord}_R(f^*D).$$

In other words, the intersection multiplicity of C and D at a point P equals the sum of the intersection multiplicities of C with D at all the places of C lying over P .

Theorem 2.1. *Let $\pi: X \rightarrow \mathbb{P}^1$ be a smooth elliptic surface defined over a number field k , with a section S and at least five nodal singular fibers. Let \mathcal{E} be the elliptic curve over $k(T)$ that is the generic fiber of this fibration with zero section S . Assume that the Zariski closure in X of the divisor of points of order two on \mathcal{E} is an irreducible curve of positive (geometric) genus on X .*

Let P be an algebraic point on X , lying on a smooth fiber E of π . Let $[2]: E \rightarrow E$ be multiplication by 2. Assume that there are only finitely many rational curves on X through P . Then there is a point Q on X such that $[2]^n Q = P$ for some positive integer n and Q lies on no rational curves on X . In particular, Q is an algebraic point on X .

Proof. Let $f: X \rightarrow X$ be the rational map corresponding to the multiplication by 2 on the elliptic curve \mathcal{E} . Then f is well defined at every point of X except the singular points of the singular fibers of π . Let $m: Y \rightarrow X$ be a minimal resolution of f — that is, assume that Y is a minimal blow-up of X with the property that f extends to a morphism $m: Y \rightarrow X$. Let $\psi: Y \rightarrow X$ be the blowing down map.

It is straightforward to see that m is unramified over smooth fibers of π , and that over nodal fibers of π , m is ramified (to order two) precisely along the curve $\psi^{-1}(Q)$, where Q is the node. In particular, m induces an étale map of degree 4 from each smooth fiber of π to itself. Over a nodal fiber N , m induces a map of degree 2 from N to itself, ramified over the two places lying over the node Q .

The heart of the proof lies in the following lemma. Before we state it, we recall that an irreducible curve C on a fibered surface $\pi : X \rightarrow V$ is called horizontal if and only if C is not contained in a fiber of π . The curve C is called vertical if it is not horizontal.

Lemma 2.2. *Let C be any irreducible curve on X . If C is not a component of a singular fiber of π , then $m^{-1}C$ has at least one component that is not a rational curve. Moreover, if $m^{-1}C$ has a horizontal component that is a rational curve, then it has exactly two horizontal components, and m induces a birational map from the rational component to C .*

Proof. If C is not itself a rational curve, then clearly every horizontal component of $m^{-1}C$ is not a rational curve. Thus, we may assume that C is a rational curve, albeit possibly a singular one. Since C is not a component of a singular fiber of π , it follows that π induces a non-constant morphism $g : C \rightarrow \mathbb{P}^1$. Let d be the degree of $\pi|_C$. That is, let $d = C \cdot F$, where F is the divisor class of a fiber of π . Since C is rational, Hurwitz's Theorem [Ha, Corollary IV.2.4] implies that g has ramification degree $2d - 2$.

If $d = 1$, then C is a section of π . If $C = S$, then by assumption the divisor $m^{-1}C$ has two components: S and the irreducible 2-torsion, which is assumed to be non-rational. If C is not equal to S , then it is a translate of S , and thus $m^{-1}C$ is isomorphic to $m^{-1}S$. The lemma is therefore true for $d = 1$, and we henceforth assume that $d \geq 2$.

We next deal with the case that $m^{-1}C$ is reducible. Since m has degree four, the degrees of the components of $m^{-1}C$ over C must sum to four. Since the 2-torsion of $\mathcal{E}/k(T)$ is irreducible of degree 3 over \mathbb{P}^1 , it immediately follows that there can be no more than one component of $m^{-1}C$ of degree 1, and no components of degree 2. The only remaining reducible case has one component of degree 1 and one component of degree 3. The degree 1 component is clearly rational, so if the degree 3 component were also rational, then there would be non-trivial 2-torsion of \mathcal{E} defined over a rational function field, which is impossible since the primitive 2-torsion is non-rational. There may, of course, be components of degree zero, but these will be vertical components.

We now restrict to the case that $m^{-1}C$ has a unique irreducible horizontal component — call this component B . For any place Q of C , the ramification degree of g at Q is equal to the intersection multiplicity of Q with the fiber of π through Q . Over the nodal fibers of π , these intersection multiplicities sum to at least $5d$, while the ramification degree of g is $2d - 2$. Thus, since $d \geq 2$, there are at least 8 places Q of C lying on nodal fibers of π such that g is unramified at Q .

Let Q be a place of C lying on a nodal fiber of π , and such that g is unramified at Q . The intersection multiplicity of C with the fiber at Q is one, so Q is a smooth point of the nodal fiber. This means that $m^{-1}(Q)$ is a set of exactly three points of Y , exactly one of which — call it R — lies on the ramification locus of m . The point R blows down to the node T of the nodal fiber on which Q lies (that is, $\psi(R) = T$), and the other two points lie on the smooth part of the fiber.

If R corresponds to more than one place of B , then B is singular at T , and thus has multiplicity at least two at T . Since the fiber is also singular at T , this means that the intersection multiplicity of the fiber with B and hence of $m^{-1}C$ along $m^{-1}Q$ is greater than 4, which is clearly impossible. Thus, R corresponds to a single place of B .

But this means that $m|_B : B \rightarrow C$ is ramified at the place R . Since there are at least 8 such places, it follows from Hurwitz's Theorem that the curve B is not rational. This concludes the proof of the lemma. \square

We now complete the proof of the theorem. Assume that there are r rational curves on X through P , and let Z be one of them. Since P lies on a smooth fiber of π , Lemma 2.2 implies that the set $m^{-1}Z$ has at least one non-rational component Y . Let Q be a point in $Y \cap m^{-1}(P)$. The

morphism m induces a function \mathcal{M} from {rational curves through Q } to {rational curves through P }, and by Lemma 2.2, \mathcal{M} is injective, and not surjective (because Z is not in the image of \mathcal{M}). Thus, there are strictly fewer rational curves through Q than through P . By iterating this procedure at most r times, one obtains a point Q such that $[2]^n Q = P$ and such that no rational curves on X contain Q . \square

It seems highly unlikely that every algebraic point on a $K3$ surface lies on infinitely many rational curves. However, it is easy to construct examples of algebraic points on $K3$ surfaces that lie on infinitely many rational curves. For example, if P is a point that is fixed by a rational map $f: X \rightarrow X$, and if P lies on some rational curve C that is not a pre-periodic curve of f (that is, the sequence of curves $\{f^n(C)\}$ is not eventually periodic), then P obviously lies on the infinite set of rational curves $\{f^n(C)\}$. This occurs when, for example, the point P is a point of intersection of the zero section S of an elliptic fibration and a rational curve C which is non-torsion.

However, these examples all admit a number field k over which all the relevant rational curves are defined. In Theorem 2.3, we describe a possible means of circumventing this problem.

Theorem 2.3. *Let X satisfy the conditions of Theorem 2.1, and let P be an algebraic point on X , with P defined over a field k . Let $f: X \rightarrow X$ be the rational map given by multiplication by two. Assume that $f^{-1}(P)$ is irreducible over k . Then for any point Q satisfying $f(Q) = P$ (that is, $2Q = P$), there are no rational curves C on X through Q such that $f(C)$ is defined over k .*

Proof. Let C/\bar{k} be any irreducible curve through Q (possibly singular, possibly not defined over k), and assume that the curve $f(C)$ is defined over k . Let G be the Zariski closure $\overline{f(C)}$ of $f(C)$, and let $D = f^{-1}(G)$. Every point of $f^{-1}(P)$ lies on some component of D , and every component of D passes through some point of $f^{-1}(P)$. Since the points of $f^{-1}(P)$ are all Galois conjugates, it follows that the components of D are all Galois conjugates. By Lemma 2.2, at least one component of D is non-rational. It therefore follows that all the components of D are non-rational. In particular, C is not a rational curve. \square

Notice that if we assume further that every rational curve through P is defined over k , then Theorem 2.3 implies that there are no rational curves through Q at all, providing a counterexample (indeed four counterexamples) to Conjecture 1.1.

3. An explicit example

In this section, we will use the techniques of the previous sections to exhibit a specific example of a $K3$ surface X and a point P on X such that P does not lie on any smooth rational curves on X .

First, we describe a $K3$ surface X with the following properties:

- (1) X has an elliptic fibration with a section,
- (2) X contains an infinite number of (-2) -curves, and all (-2) -curves on X are defined over \mathbb{Q} ,
- (3) at least five of the singular fibers in the elliptic fibration are irreducible curves with a nodal singularity,
- (4) the divisor of 2-torsion points is irreducible, and
- (5) X contains a rational point P such that the four solutions Q to $[2]Q = P$ are all Galois conjugates (using the addition on the elliptic fiber E that contains P , where the zero element is the intersection of E with the given section).

Notice that any of the points Q and the surface X will provide the specific example we seek. To see this, notice that by Theorem 2.3, there are no rational curves through Q that are defined over \mathbb{Q} . Since every smooth rational curve on X is defined over \mathbb{Q} , we conclude that there are no smooth rational curves on X through Q .

The surface we choose comes from the class of $K3$ surfaces that are defined by smooth $(2, 2, 2)$ forms in $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$, have Picard number four, and include a line parallel to one of the axes. Such

surfaces are studied in [Ba1], from which we borrow several basic results. The specific surface we consider is the surface X with affine equation

$$F(x, y, z) = x^2(y^2 + 2y^2z + yz + z^2 + 2y + 3z) + x(y^2z^2 + 3y^2z + 2y^2 + z) + (y^2z^2 + 3y^2z + 2y^2 + y + z) = 0.$$

The surface X includes the line $(x, 0, 0)$, so has Picard number at least four. Since $F(x, 1/y, z - 1)$ is equivalent, modulo 2, to the surfaces described by van Luijk in [B-vL], it has Picard number at most 4, so the Picard number is exactly 4.

3.1. The Picard group and a fibration with section

Let \mathbb{P}_i^1 be the i th copy of \mathbb{P}^1 in $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$. Let π_i be projection onto \mathbb{P}_i^1 , and D_i the divisor class of the curve $\pi_i^*(H)$ for some point $H \in \mathbb{P}^1$. Let D_4 be the divisor class that contains the curve $(x, 0, 0)$. Since this curve is a smooth rational curve, its self intersection is -2 (by the adjunction formula). Since there is only one (-2) -curve in the class D_4 , we will sometimes abuse notation and let D_4 represent the curve itself too. The set $\mathcal{D} = \{D_1, D_2, D_3, D_4\}$ is a basis of $\text{Pic}(X)$ and the intersection matrix is

$$J = [D_i \cdot D_j] = \begin{bmatrix} 0 & 2 & 2 & 1 \\ 2 & 0 & 2 & 0 \\ 2 & 2 & 0 & 0 \\ 1 & 0 & 0 & -2 \end{bmatrix}$$

(see [Ba1]). The curves $E = \pi_1^*(H)$ are elliptic curves (again by the adjunction formula) so generate an elliptic fibration of X . The (-2) -curve D_4 is a section, since $D_4 \cdot D_1 = 1$.

3.2. The group of automorphisms and the (-2) -curves

In this subsection, we show that the set of irreducible (-2) -curves on X are all in the $\text{Aut}(X/\mathbb{Q})$ -orbit of the (-2) -curve D_4 , so are all rational. We will first have to describe $\text{Aut}(X/\mathbb{Q})$ (or more precisely, a sufficiently large subgroup of $\text{Aut}(X/\mathbb{Q})$).

Let p_i be projection onto $\mathbb{P}_j^1 \times \mathbb{P}_k^1$, where (i, j, k) is a permutation of $(1, 2, 3)$. Both p_2 and p_3 are everywhere double covers, and p_1 is a double cover at all points in $\mathbb{P}_2^1 \times \mathbb{P}_3^1$ except the point $(0, 0)$. Where we have a double cover, let us define P' by $p_i^{-1}(p_i(P)) = \{P, P'\}$, and set $\sigma_i(P) = P'$. In [Ba1], we describe how to extend σ_1 to points on D_4 . These three maps are in $\text{Aut}(X/\mathbb{Q})$.

This next automorphism is a little less obvious than those presented above. Given a point $P \in X$, let E be the elliptic curve on X that contains P and is in the divisor class D_1 . Let O_E be the point of intersection of E with the section D_4 . Define $\sigma_4(P) = -P$, where $-P$ is the additive inverse of P in the group on E with zero O_E . Then σ_4 is in $\text{Aut}(X/\mathbb{Q})$. In other words, σ_4 is the automorphism induced by multiplication by -1 on the elliptic fibration corresponding to D_1 .

Let $\mathcal{A} = \langle \sigma_1, \sigma_2, \sigma_3, \sigma_4 \rangle$ be the group generated by the automorphisms σ_i . To understand how \mathcal{A} acts on D_4 , we look at its action on the Picard group. The main result of [Ba1] is to describe the ample cone \mathcal{K} for surfaces in a class of K3 surfaces that contains X . In the basis \mathcal{D} , the group of symmetries of \mathcal{K} is $O'' = \langle U, T_1, T_2, T_4 \rangle$, where

$$U = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad T_1 = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ 2 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 \end{bmatrix},$$

$$T_2 = \begin{bmatrix} 1 & 2 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 2 & 1 & 1 \\ 0 & 0 & 0 & -1 \end{bmatrix}, \quad \text{and} \quad T_4 = \begin{bmatrix} 1 & 8 & 8 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 4 & 4 & 1 \end{bmatrix}.$$

It is clear that every automorphism of X acts as a symmetry of \mathcal{K} , so the pullback map sends \mathcal{A} into \mathcal{O}'' . In [Ba1], we further show that the set of irreducible (-2) -divisors is exactly the \mathcal{O}'' -orbit of D_4 (this is used to find the faces of \mathcal{K}). Hence, to show that all irreducible (-2) -curves on X are rational, it is enough to find a subgroup of $\text{Aut}(X/\mathbb{Q})$ that maps onto \mathcal{O}'' . But that might be asking for too much. Instead, we note that $UD_4 = D_4$, and that $\langle U \rangle$ is a normal subgroup of \mathcal{O}'' . Hence, it is enough to find a subgroup of $\text{Aut}(X/\mathbb{Q})$ (namely \mathcal{A}) that maps onto $\mathcal{O}''/\langle U \rangle$ (using the pullback map, modulo U).

In [Ba1], we show that $\sigma_i^* = T_i$ for $i = 1, 2, 3$, where $T_3 = UT_2U$. Let $[T_4] \in \mathcal{O}''/\langle U \rangle$ be the equivalence class $\{T_4, UT_4\}$.

Lemma 3.1. *The image of σ_4 in $\mathcal{O}''/\langle U \rangle$ is $[T_4]$.*

Proof. It is clear that $\sigma_4(E) = E$ and $\sigma_4(D_4) = D_4$, so $\sigma_4^*D_1 = D_1$ and $\sigma_4^*D_4 = D_4$. This gives us two eigenvectors of σ_4^* .

Since the intersection pairing defines a Lorentz product (its signature is $(1, 3)$), there is a natural model of hyperbolic three space in $\text{Pic}(X) \otimes \mathbb{R}$. Let D be an ample divisor (e.g. $D = D_1 + D_2 + D_3$) and let

$$\mathcal{H} = \{\mathbf{x} \in \text{Pic}(X) \otimes \mathbb{R} : \mathbf{x} \cdot \mathbf{x} = D \cdot D, \mathbf{x} \cdot D > 0\}.$$

Define a distance $|AB|$ between points on \mathcal{H} by

$$(D \cdot D) \cosh(|AB|) = A \cdot B.$$

Then \mathcal{H} is a model of \mathbb{H}^3 . Since σ_4^* preserves the intersection pairing, it is an isometry on \mathcal{H} . Since σ_4^* fixes D_1 and D_4 , it fixes every point on the line l in \mathcal{H} with endpoints D_1 and $D_1 + D_4$. Note that σ_4^2 is the identity on X . Thus, σ_4^* is either the identity, rotation by π about the line l , or is reflection through a plane that includes l . The rotation by π about l is T_4 . Suppose σ_4^* is reflection through the hyperplane given by $\mathbf{a} \cdot \mathbf{x} = 0$ intersected with \mathcal{H} . Then $\mathbf{a} \cdot D_1 = 0$ and $\mathbf{a} \cdot D_4 = 0$, so $a_1 = -4a_2 - 4a_3$ and $a_4 = -2a_2 - 2a_3$ (where $\mathbf{a} = (a_1, a_2, a_3, a_4)$). The reflection $R_{\mathbf{a}}$ through $\mathbf{a} \cdot \mathbf{x} = 0$ is given by

$$R_{\mathbf{a}}(\mathbf{x}) = \mathbf{x} - \frac{2\mathbf{a} \cdot \mathbf{x}}{\mathbf{a} \cdot \mathbf{a}}\mathbf{a}.$$

If $R_{\mathbf{a}} \in \mathcal{O}''$, then $R_{\mathbf{a}}$ must have integer entries. Since \mathbf{a} is the eigenvector of $R_{\mathbf{a}}$ with associated eigenvalue -1 (with multiplicity 1), it can be taken to have integer entries. Furthermore, $R_{\mathbf{a}}(D_2)$ must have integer entries. The second component of $R_{\mathbf{a}}(D_2)$ is

$$\frac{2(a_3^2 - a_2^2)}{2a_2^2 + 3a_2a_3 + 2a_3^2}.$$

Let this integer be k . Then

$$(2k + 2)t^2 + 3kt + (2k - 2) = 0,$$

where $t = a_2/a_3$. Since t is rational, the discriminant $-5k^2 + 16$ must be a perfect square, so $k = 0$. Thus $a_2 = a_3$ or $a_2 = -a_3$, the first giving $R_a = T_4U$ and the second giving $R_a = U$.

Suppose now that $\sigma_4^* = I$ or U . Consider the infinite set of divisors: $C_n = (T_2T_4T_3T_4)^n D_4$. Since these are all in the O'' -orbit of D_4 , they each represent irreducible (-2) -curves, which we will also denote with C_n . A simple calculation verifies that $C_n = c_{n,1}D_1 + nD_2 + nD_3 + c_{n,4}D_4$, so C_n is fixed by both U and I . Another simple calculation verifies that $T_4T_3T_4T_2D_1 = D_1$, so

$$C_n \cdot D_1 = D_4 \cdot (T_4T_3T_4T_2)^n D_1 = D_4 \cdot D_1 = 1.$$

Thus, for each fiber E , the curve C_n intersects it at exactly one point, say P_n . The curves C_n for $n = 0, \dots, 4$ intersect in a finite number of points, so there exists a fiber E on which the five points P_n are distinct. Since $\sigma_4^*(C_n) = C_n$, and there is only one curve in this class, we get $\sigma_4(C_n) = C_n$, so $\sigma_4(P_n) = P_n$. But by definition, $\sigma_4(P_n) = -P_n$, so we get $2P_n = O$. Since an elliptic curve has at most four 2-torsion points, we arrive at a contradiction. Thus $\sigma_4^* = T_4$ or UT_4 . \square

Consequently, the set of irreducible (-2) -curves on X is the \mathcal{A} -orbit of D_4 , so all irreducible (-2) -curves on X are defined over \mathbb{Q} . We will later prove $\sigma_4^* = T_4$, though this refinement is not necessary for our construction.

3.3. The singular fibers

The affine singularities on the singular fibers satisfy the following system of equations

$$\begin{aligned} F(x, y, z) &= 0, \\ \frac{\partial}{\partial y} F(x, y, z) &= 0, \\ \frac{\partial}{\partial z} F(x, y, z) &= 0. \end{aligned}$$

Maple has no problem solving this system of equations, and finds that x is a root of a polynomial $g(t) \in \mathbb{Q}[t]$ of degree 24, and that y and z are rational functions in x . We check $g(t)$ modulo several different primes, and discover that modulo 13, $g(t)$ factors into irreducible polynomials of degree 1 and 23, with the root $x = 7 \pmod{13}$. The singularity on this fiber is at $(9, 5) \pmod{13}$, and

$$F(7, y + 9, z + 5) = 8y^2z^2 + 8yz^2 + 8y^2 + 2yz + 6z^2 \pmod{13}.$$

Since the quadratic part $8y^2 + 2yz + 6z^2$ is irreducible modulo 13, the singularity is nodal, so the fiber over the root of $g(t)$ that reduces to 7 modulo 13 is nodal over \mathbb{C} .

We also discover that, modulo 11, $g(t)$ has no linear factors, so $g(t)$ is irreducible over \mathbb{Q} (of course, the rational root theorem works too). Thus, the singular fibers over each root of $g(t)$ are all Galois conjugates of each other, so are all nodal. Furthermore, (though this is not necessary for our argument), it is well known that an elliptic fibration on a $K3$ surface has at most 24 singular fibers (see for example [IS]), so we have found all of them. That is, the fiber at infinity is not singular, and there are no fibers with singularities at infinity.

3.4. Addition on the fibers and 2-torsion points

A fiber E is a $(2, 2)$ form, and is a curve of genus 1. We define a ‘chord and tangent’ addition using the intersections of $(1, 1)$ forms with E . Such intersections include four points, so our definition of addition is a bit tricky. A $(1, 1)$ form is uniquely defined by three points. The curve E intersects the section at one point, which we choose to be O . There exists a $(1, 1)$ form that intersects E at O with

multiplicity 3; it intersects E again at, say, O' . We define $A * B$ to be the point C such that the $(1, 1)$ form through A , B , and O' intersects E again at C . Then we define $A + B = (A * B) * O$. It is useful to observe that $(A * O) * O = A$, and that if $A * B = C$, then $A * C = B$.

Suppose that, using our definition of addition, $P + Q + R = O$. Then

$$\begin{aligned} ((P * Q) * O) * R &= O, \\ ((P * Q) * O) * R &= O * O = O, \\ ((P * Q) * O) * O &= R, \\ P * Q &= R. \end{aligned}$$

Thus P , Q , R , and O' all lie on a $(1, 1)$ form. So do O with multiplicity 3 and O' , so as divisors, $[P] + [Q] + [R] - 3[O] = 0$. This shows that our definition is in fact the usual addition on an elliptic curve.

To solve $[2]P = O$, we note that

$$\begin{aligned} O &= [2]P = (P * P) * O, \\ O * O &= ((P * P) * O) * O, \\ O &= P * P. \end{aligned}$$

Thus, we must solve for P such that the $(1, 1)$ form through O and O' has a double root.

Let us consider the fiber with $x = 0$:

$$F(0, y, z) = y^2 z^2 + 3y^2 z + 2y^2 + y + z = 0.$$

Our zero is $O = (0, 0)$. Let our $(1, 1)$ form be (in affine coordinates) $z = \frac{ay+b}{cy+d}$ and first assume $ad - bc \neq 0$. Since this form goes through O , we get $b = 0$. When we plug our $(1, 1)$ form into $F(0, y, z)$, we get a factor of y in the numerator. Forcing O to be a double root, we get $ad + d^2 = 0$, and since $d \neq 0$, $a = -d$. Forcing O to be a triple root, we get $cd = 2d^2$, so $c = -2d$. Since we could solve for the $(1, 1)$ form under the assumption that $ad - bc \neq 0$, and because the $(1, 1)$ form through O with multiplicity 3 is unique, we do not need to consider the cases that correspond to $ad - bc = 0$. Thus, the $(1, 1)$ form that intersects O with multiplicity 3 is $\frac{y}{2y-1}$, and its fourth point of intersection is $O' = (7/15, -7)$. The $(1, 1)$ forms through O and O' have the form

$$z = -\frac{(7c + 15d)y}{cy + d}.$$

Plugging this into $F(0, y, z)$ and dividing through by $y(y - 7/15)$, we get a fraction with numerator

$$(15d^2 + 2c^2 + 11cd)y^2 + (c^2 + 4d^2 + 4cd)y + 2d^2.$$

This has a double root if its discriminant is zero, which gives us

$$t^4 - 36t^2 - 116t - 104 = (t + 2)(t^3 - 2t^2 - 32t - 52) = 0,$$

where $t = c/d$. The solution $t = -2$ gives us the $(1, 1)$ form above that comes from $[2]O = O$, and the other factor is irreducible over \mathbb{Q} . Thus the 2-torsion points on this fiber are not rational. Hence, they are Galois conjugates of each other. Thus, the 2-torsion divisor on X must be irreducible.

3.5. An explicit point

We now describe a point P on a fiber E such that the four solutions Q to $2Q = P$ are Galois conjugates of each other. We pick the fiber E given by $x = 0$ and solve for Q such that $Q * Q = O' = (7/15, -7) \in E$ (or $(0, 7/15, -7)$ as a point on X). Thus, we are solving for Q such that $2Q = O' * O = (0, \frac{-203}{92}, \frac{-2198}{841})$. A $(1, 1)$ form through O' with multiplicity two is of the form:

$$\gamma(y) = \frac{(847c + 6525d)y - (1421c + 5243d)}{314(cy + d)}$$

for some rational numbers c and d . The numerator of $F(0, y, \gamma(y))$ is the product of $(y - 7/15)^2$ and a quadratic. We let the discriminant of the second quadratic be zero so that we will have another double root. This gives us an irreducible quartic

$$p(t) = 157t^4 + 2842t^3 + 19212t^2 + 57990t + 67147,$$

each of whose roots gives us a distinct $(1, 1)$ form. Let ζ be one of the roots of $p(t)$. Solving for where the resulting $(1, 1)$ form intersects the fiber E , we obtain the point

$$Q = \left(0, \frac{1873}{2714}\zeta^3 + \frac{1896629}{213049}\zeta^2 + \frac{16345885}{426098}\zeta + \frac{12302005}{213049}, \frac{-1}{2}\zeta^2 - \frac{1421}{314}\zeta - \frac{1758}{157}\right).$$

The other three solutions to $2Q = O' * O$ are, of course, the Galois conjugates of Q . By Theorem 2.3, these points Q lie on no rational curves defined over \mathbb{Q} (smooth or otherwise), and therefore on no smooth rational curves.

3.6. An aside

In this subsection, we prove the following result which, as mentioned earlier, is not necessary for our construction.

Lemma 3.2. *The pullback of σ_4 is T_4 .*

Proof. The image of D_4 under σ_3 is the (-2) -curve $D_2 - D_4$. Let M be its image under σ_4 . For a fixed x , let P be the unique point of intersection between $D_2 - D_4$ and the elliptic curve E over x . We find $-P$ by considering the $(1, 1)$ form through O , O' , and P . Extended over all values of x , this gives us a surface Y in $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$; it is an $(r, 1, 1)$ form for some non-negative integer r . Let L be the curve of points O' as x varies.

We now look at divisors in the space $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$. Let $B_i = \pi_i^*(H)$ for a line H in $\mathbb{P}_j^1 \times \mathbb{P}_k^1$; and let $B'_i = \pi_i^*(H)$ for a point H in \mathbb{P}_i^1 . Then $B'_i \cdot B'_j = B_k$ where (i, j, k) is a permutation of $(1, 2, 3)$; and $B_i \cdot B'_j = \delta_{ij}$. The divisor class that contains X is $2B'_1 + 2B'_2 + 2B'_3$; the divisor class that contains Y is $rB'_1 + B'_2 + B'_3$. The intersection of X and Y is the union of the four curves D_4 , $D_2 - D_4$, L and M . As divisors, $[X] \cdot [Y] = 4B_1 + (2r + 2)B_2 + (2r + 2)B_3$. Thus,

$$[L] + [M] + [D_2] + [D_2 - D_4] = 4B_1 + (2r + 2)B_2 + (2r + 2)B_3.$$

Since D_2 is the intersection of X with B'_2 , we get $[D_2] = 2B_1 + 2B_3$. Hence,

$$[L] + [M] = 2B_1 + (2r + 2)B_2 + 2rB_3.$$

By symmetry, $[L] \cdot B'_2 = [L] \cdot B'_3$; let this value be t , so $[L] = B_1 + tB_2 + tB_3$. Then

$$[M] = B_1 + (2r + 2 - t)B_2 + (2r - t)B_3.$$

Thus,

$$[M] \cdot (B'_2 - B'_3) = 2.$$

But $[M] \cdot (B'_2 - B'_3) = \sigma_4^*(D_2 - D_4) \cdot (D_2 - D_3)$. If $\sigma_4^* = UT_4$, then this last quantity is -2 , a contradiction. Thus, $\sigma_4^* = T_4$. \square

Note that the curve L of points O' is the curve C_1 noted earlier.

3.7. Concluding remarks

This argument can be generalized much further, at least in principle. For example, we never used the fact that (-2) -curves are smooth; we only used the fact that they are all defined over \mathbb{Q} . If we were given a set of rational curves all defined over some number field k (say, for example, the set of rational curves of arithmetic genus at most d on a $K3$ surface), and a k -rational point P such that the divisor $[2]^{-1}P$ is irreducible over k , then we would be able to deduce that any point Q such that $[2]Q = P$ does not lie on any curve defined over k .

The Hilbert Irreducibility Theorem suggests that such points P should be plentiful, given k , but computing the field k for large d is a more daunting task. One would have to compute a finite set \mathcal{V} of rational curves such that any rational curve of arithmetic genus at most d is conjugate to a curve in \mathcal{V} by some automorphism of X , and then compute the splitting field of \mathcal{V} . Since this calculation likely grows at least exponentially with d (for example, the Yau–Zaslow conjecture on the number of rational curves in a given divisor class on a $K3$ surface implies this), it seems that our approach is in practice limited to relatively small d .

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